

# Open vs Closed Loop Receivers in all-Optical Chaos-Based Communication Systems

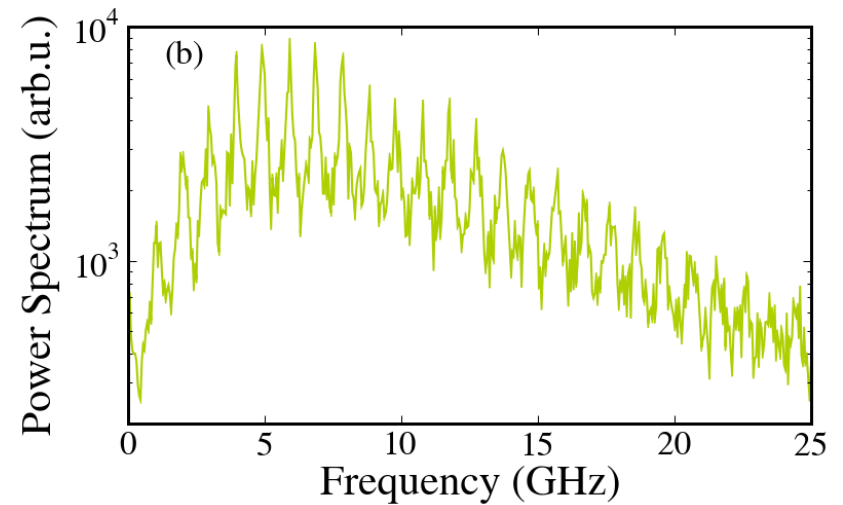
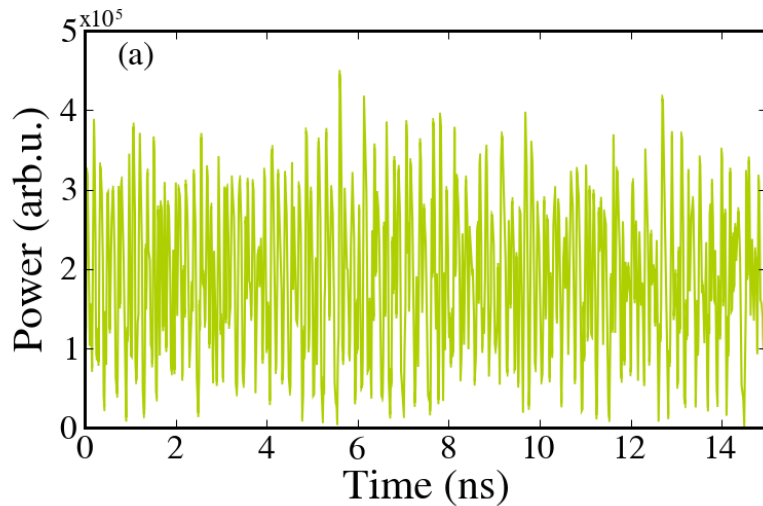
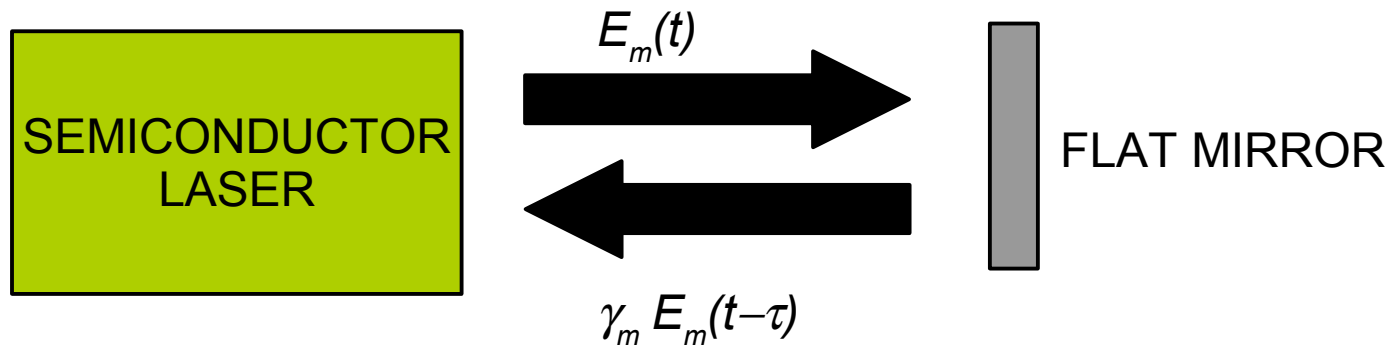
M. C. SORIANO, P. COLET, C. R. MIRASSO

*CLEO/Europe-EQEC Conference*

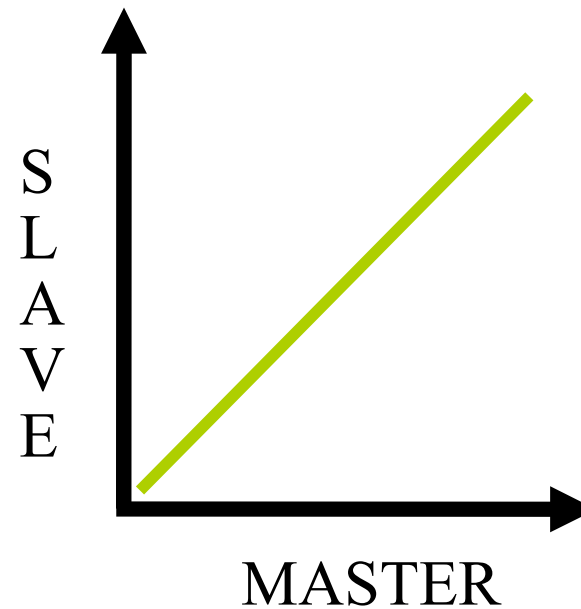


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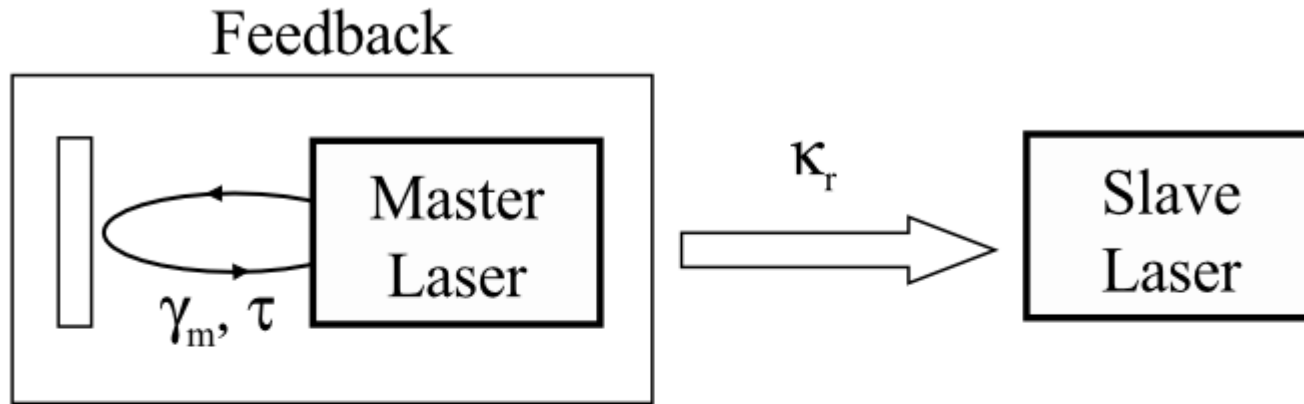
# Conventional Optical Feedback



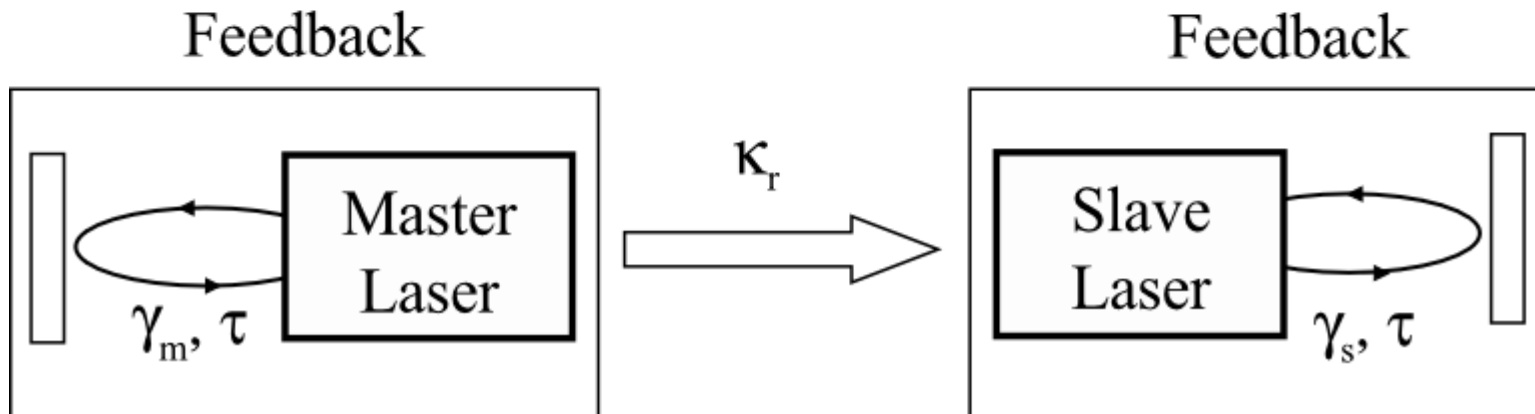
## Master-Slave Chaos Synchronization



## Open Loop



## Closed Loop



- Semiconductor laser, solitary case:
  - Electric field and carriers

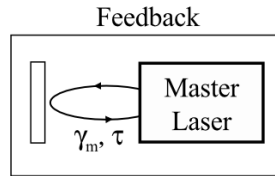
$$\dot{E}(t) = \frac{(1 + i\alpha)}{2} \left[ G - \frac{1}{\tau_p} \right] \quad (1)$$

$$\dot{N}(t) = \frac{I}{e} - \frac{N}{\tau_N} - G|E|^2 \quad (2)$$

$$G = \frac{g(N - N_o)}{1 + s|E|^2}$$

Parameter	Description	Value
$\alpha$	linewidth enhancement factor	5
$\tau_p$	photon lifetime	2 ps
$\tau_N$	carrier lifetime	2 ns
$g$	differential gain coefficient	$1.5 \cdot 10^{-8} \text{ ps}^{-1}$
$N_o$	carrier transparency	$1.5 \cdot 10^8$
$s$	gain compression coefficient	$5 \cdot 10^{-7}$
$I_{th}$	threshold current	14.7 mA

- Master Laser (m)

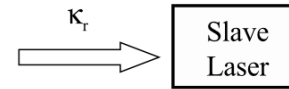


$$\dot{E}_m(t) = \frac{1 + i\alpha}{2} \left[ G_m(t) - \frac{1}{\tau_p} \right] E_m(t) + \gamma_m E_m(t - \tau) e^{-i\Phi_m}$$

Feedback strength

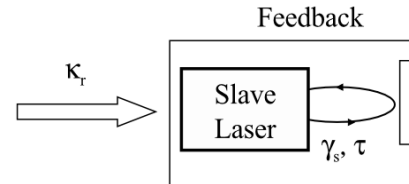
Feedback delay

- Slave Laser (s): Open Loop



$$\dot{E}_s(t) = \frac{1 + i\alpha}{2} \left[ G_s(t) - \frac{1}{\tau_p} \right] E_s(t) + \kappa_r E_m(t)$$

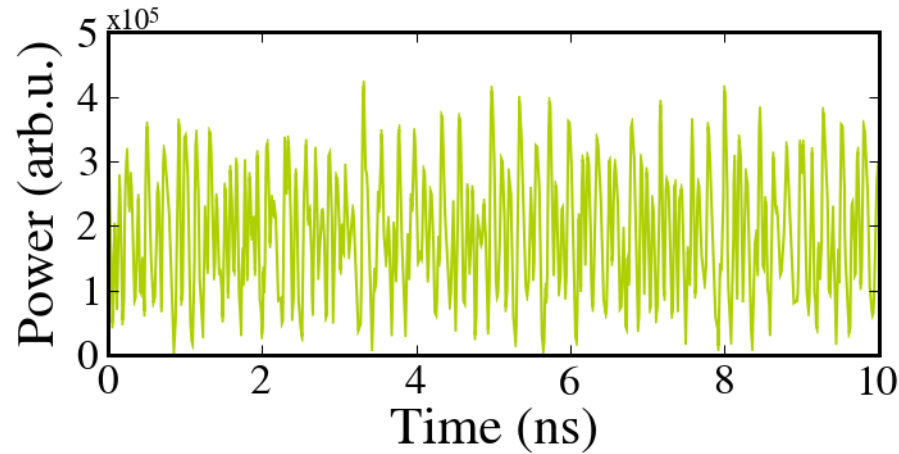
- Slave Laser (s): Closed Loop



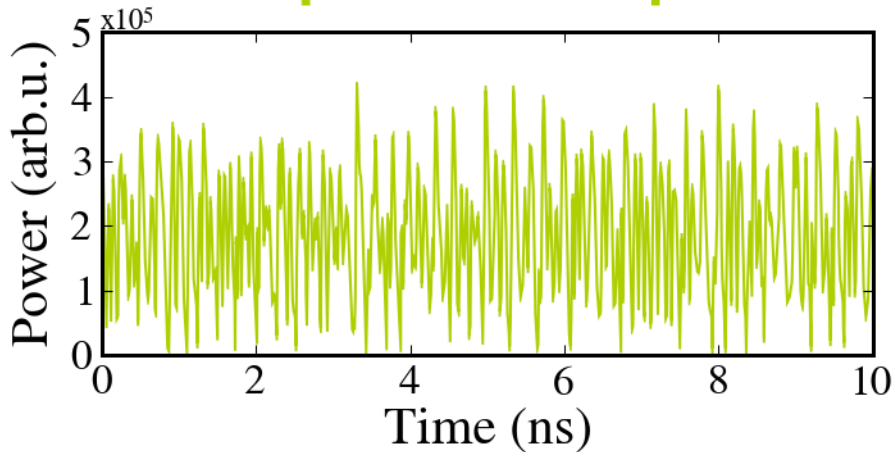
$$\dot{E}_s(t) = \frac{1 + i\alpha}{2} \left[ G_s(t) - \frac{1}{\tau_p} \right] E_s(t) + \gamma_s E_s(t - \tau) e^{-i\Phi_s} + \kappa_r E_m(t)$$

# Synchronization between master laser and slave laser

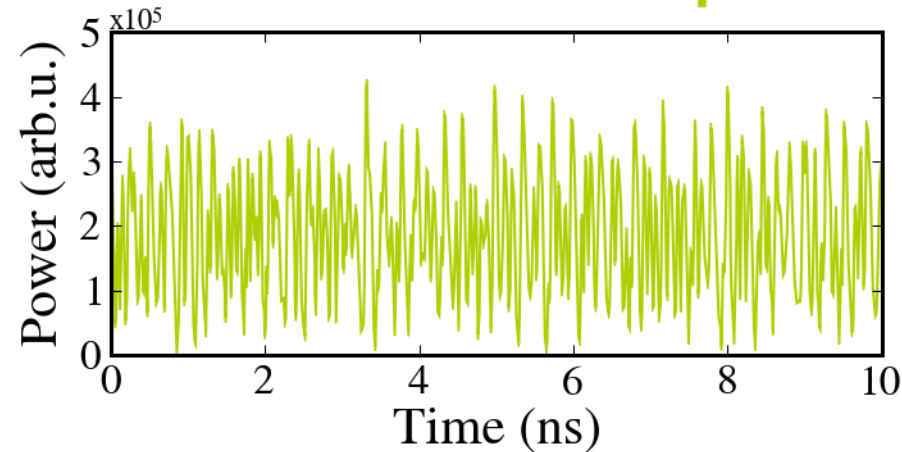
– Time-traces ( $P_{m,s} = |E_{m,s}|^2$ ): chaotic fluctuations at  $l=2l_{th}$

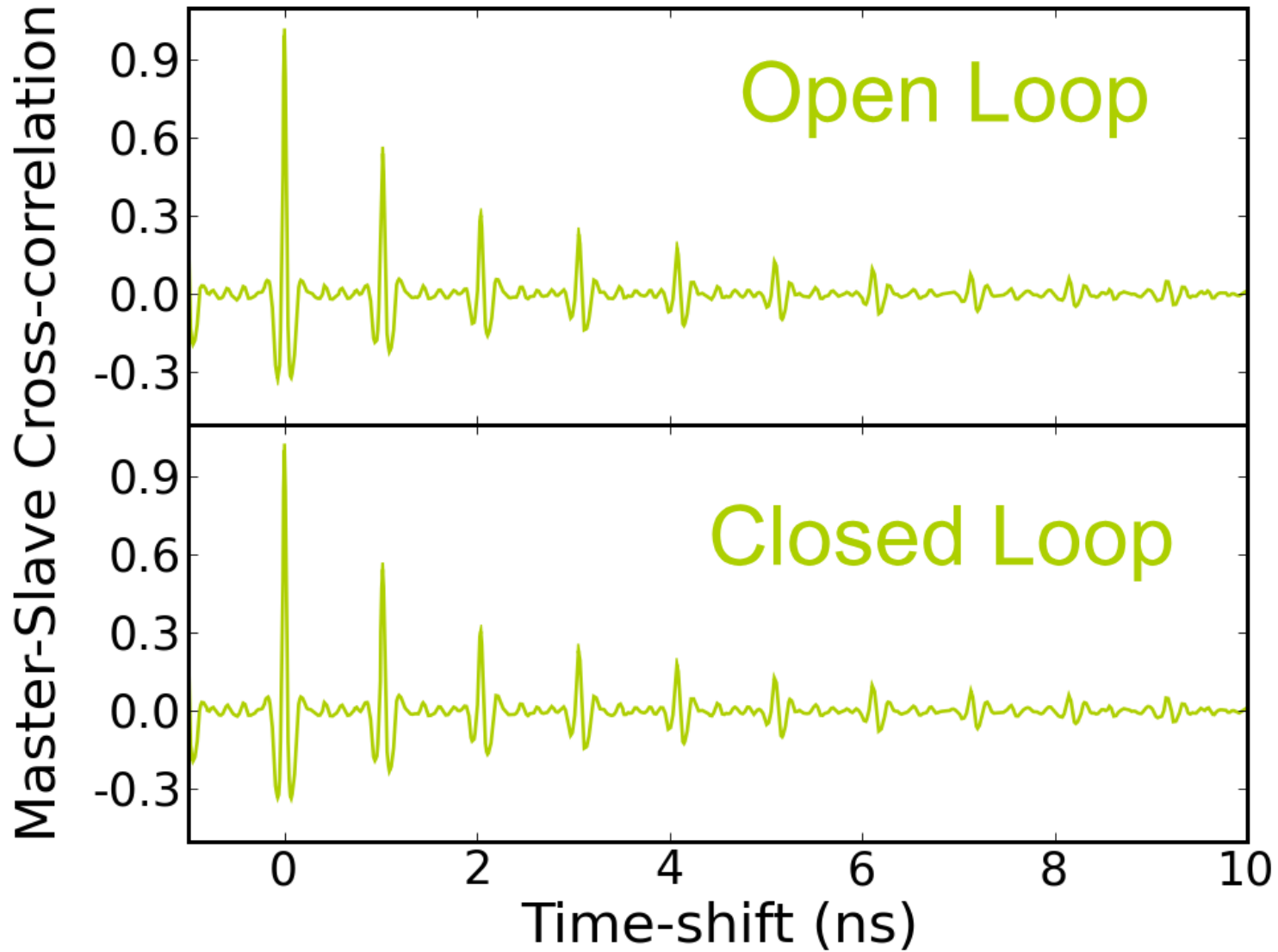


Open Loop



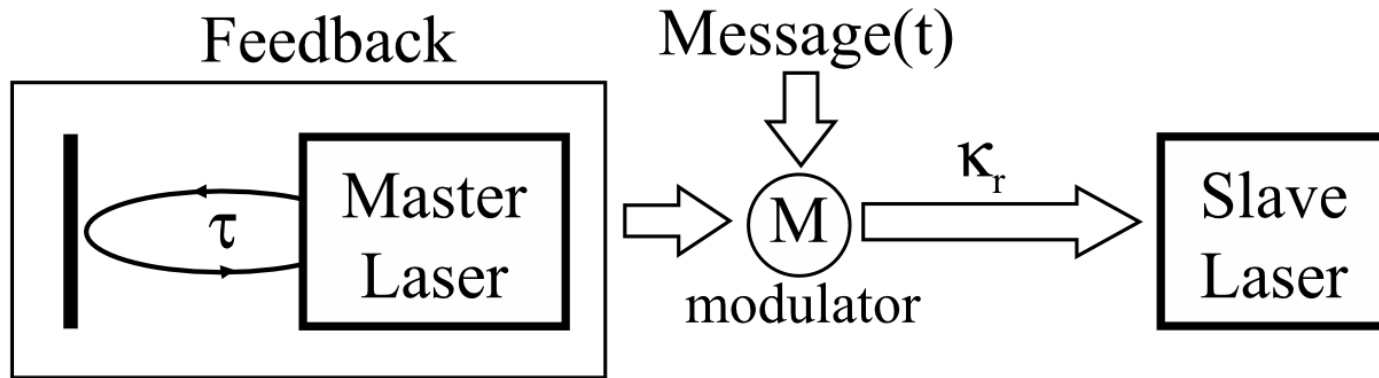
Closed Loop



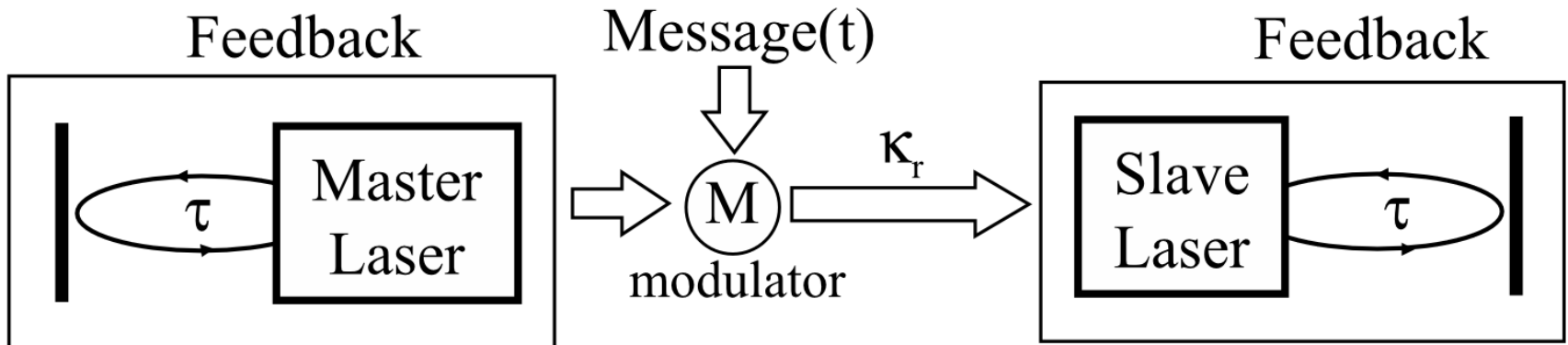




## Open Loop

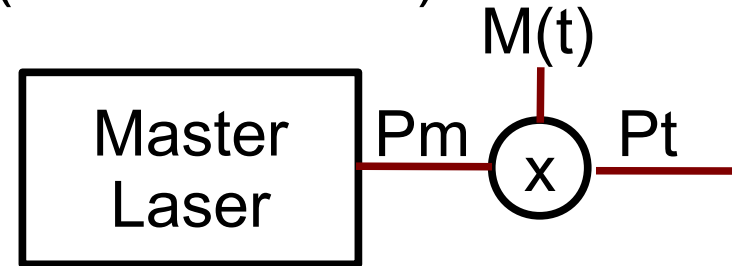


## Closed Loop



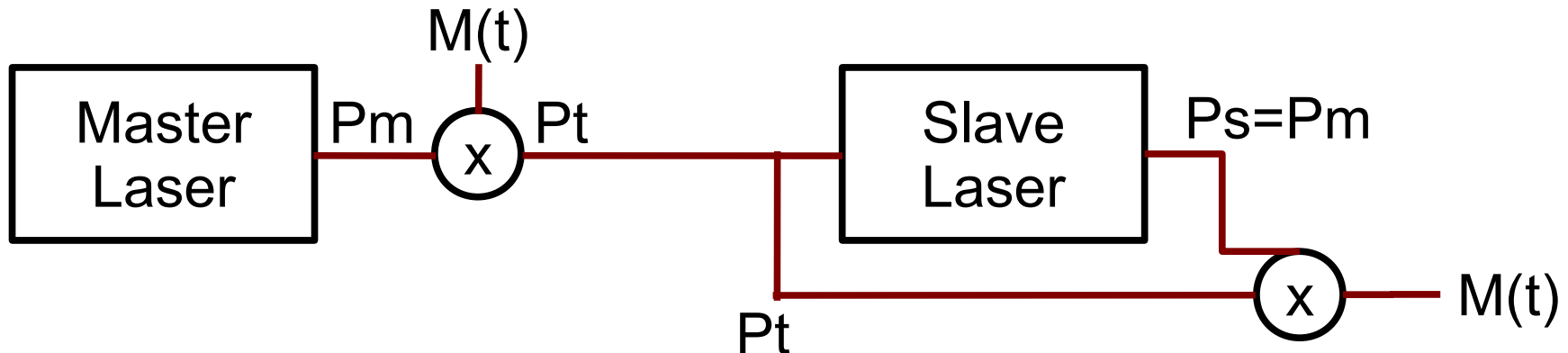
## Encryption Method: Chaos Modulation

- Small perturbation of the power (chaotic carrier)
- $P_t = P_m(1 - \epsilon M(t))$ 
  - $M(t) = [0 \ 0 \ 1 \ 0 \ 1 \dots \dots 1 \ 1]$
  - $\epsilon = 0.05$



## Message Extraction: Chaos Filtering

- Chaos synchronization on the slave laser acts as a chaos filter



## Quantifying chaos filtering

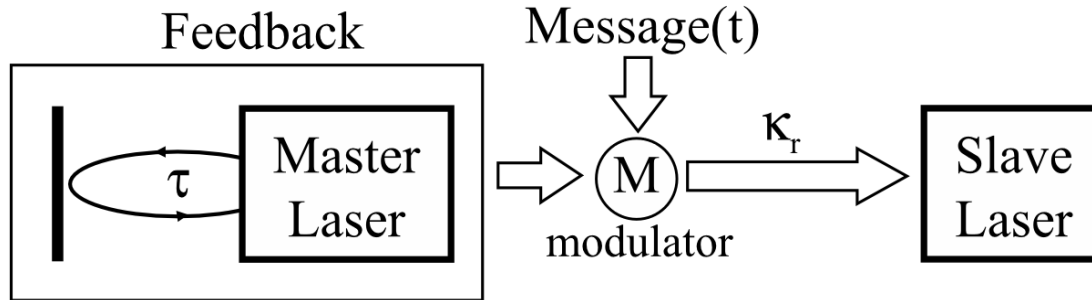
- Synchronization Master Laser  $\leftrightarrow$  Slave Laser  
Mutual Information ( $J$ ): non-linear measure of similarities between two quantities

$$J_{xy} = \sum_{i,j} p_{ij} \log_2 \frac{p_{ij}}{p_i p_j}$$

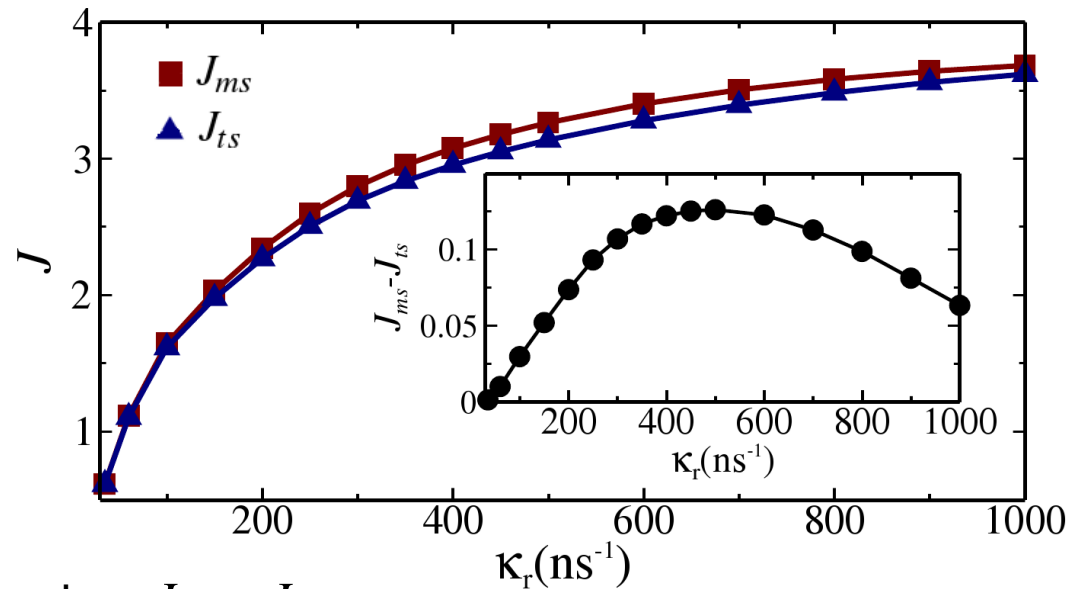
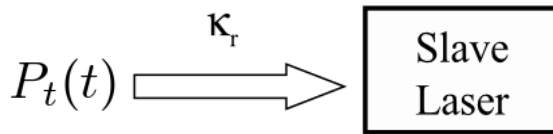
where  $p_{ij}$  is the joint probability of  $x = x_i$  and  $y = y_j$ ,  $p_i$  ( $p_j$ ) is the probability of  $x = x_i$  ( $y = y_j$ ). For two independent signals  $p_{ij} = p_i p_j$ , and  $J_{xy}$  is zero. Otherwise,  $J_{xy}$  will be positive.

- More appropriate than the cross-correlation to resolve small differences

## Open Loop



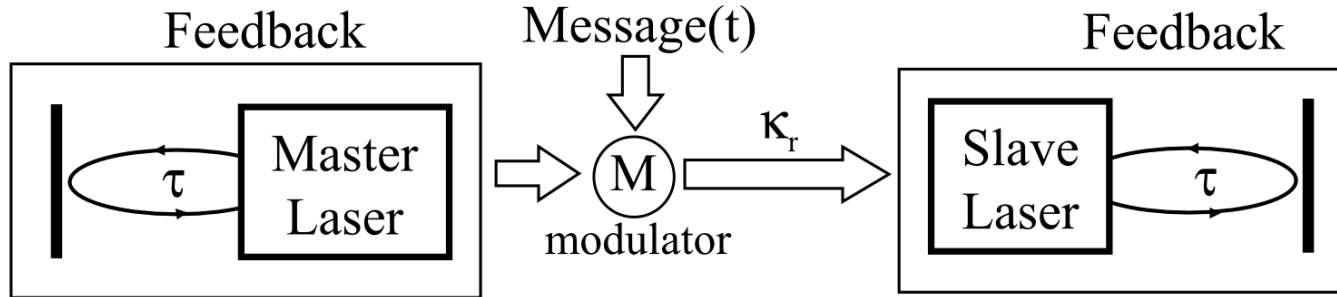
$$P_t(t) = (1 - \epsilon m(t)) P_m(t)$$



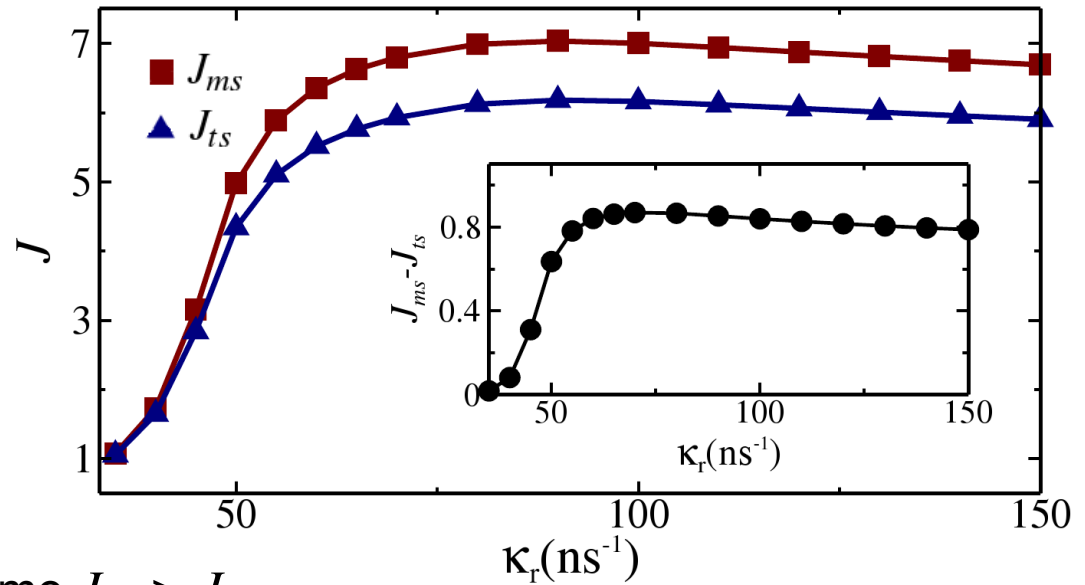
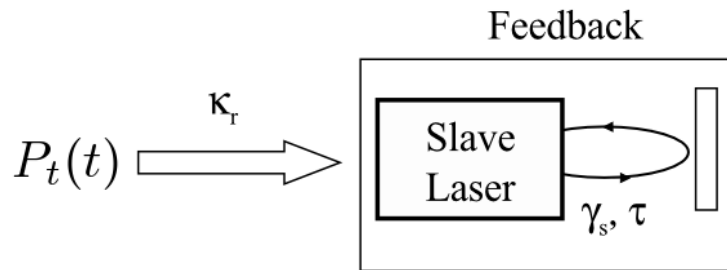
In the synchronization regime  $J_{ms} > J_{ts}$

In the injection locking regime  $J_{ts} > J_{ms}$

## Closed Loop

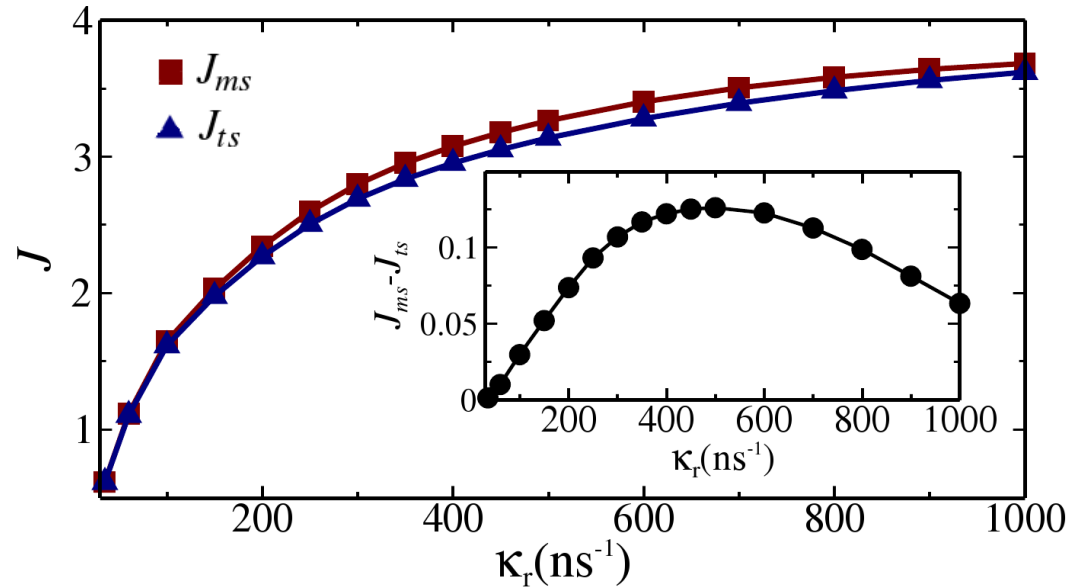
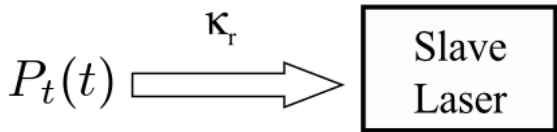


$$P_t(t) = (1 - \epsilon m(t)) P_m(t)$$

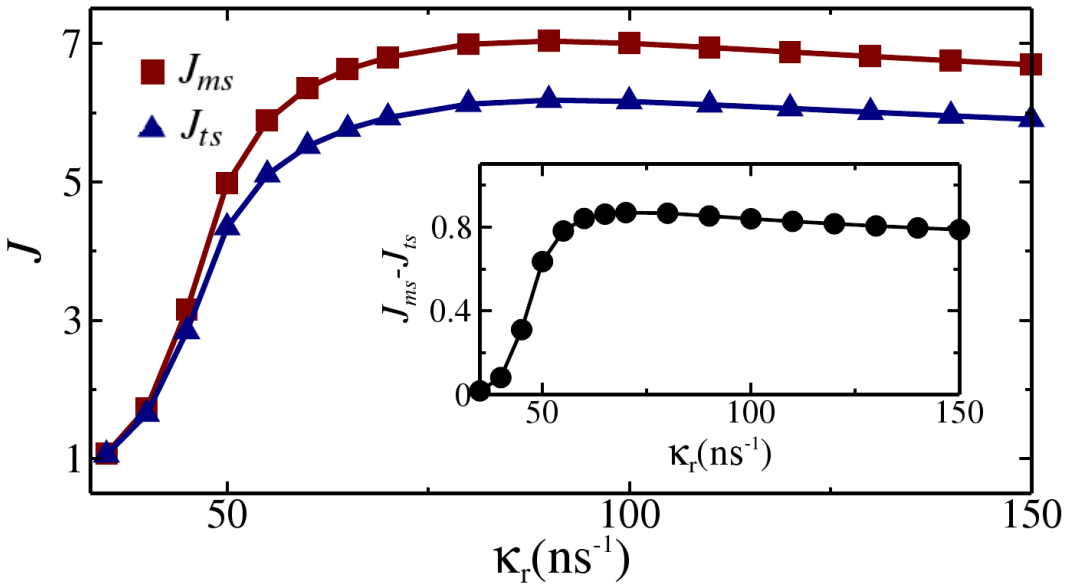
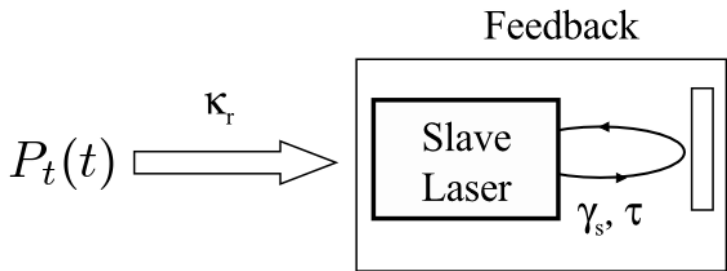


In the synchronization regime  $J_{ms} > J_{ts}$

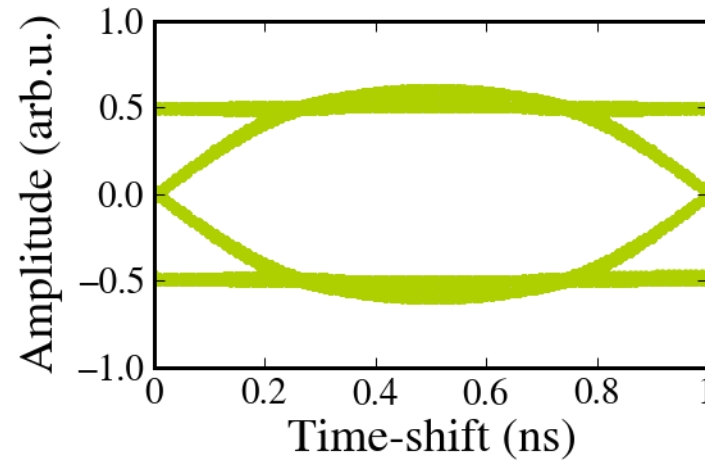
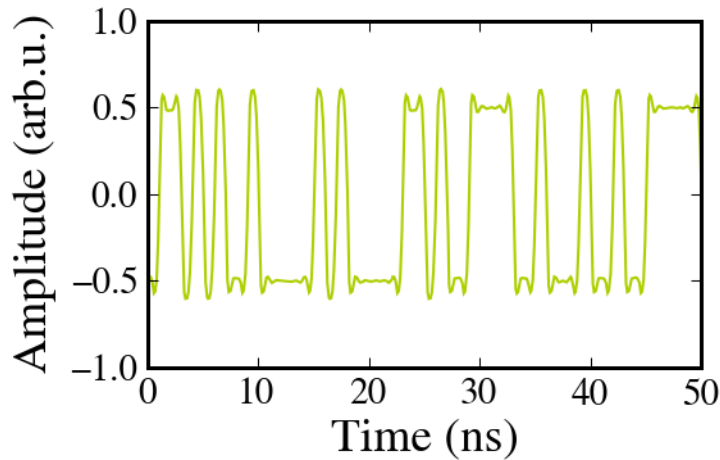
In the injection locking regime  $J_{ts} > J_{ms}$



$$P_t(t) = (1 - \epsilon m(t)) P_m(t)$$



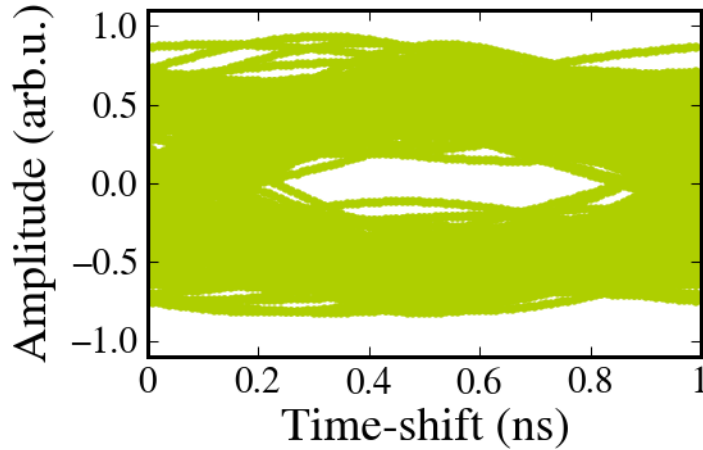
- Message decoding



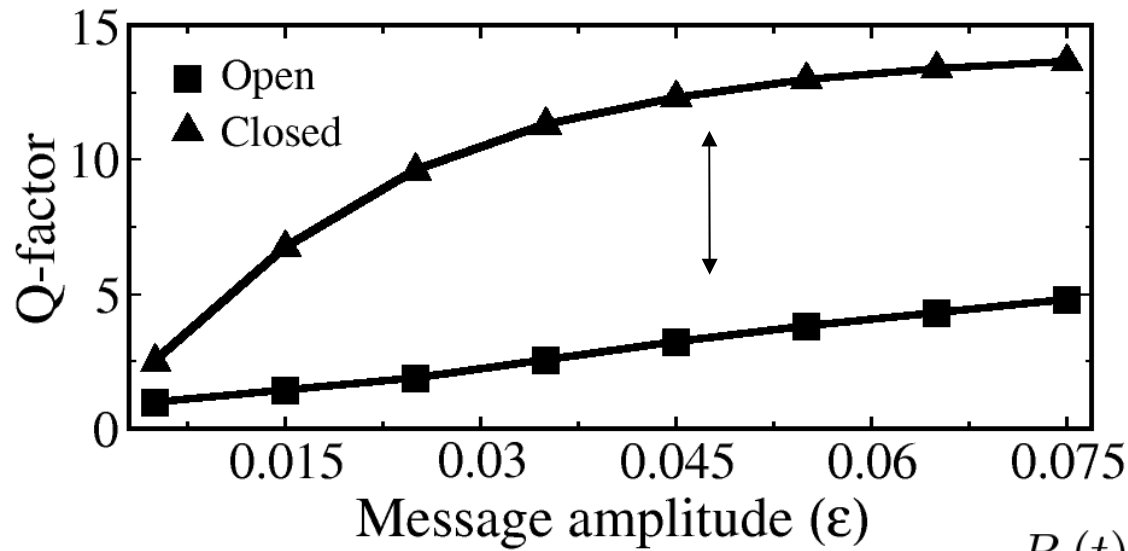
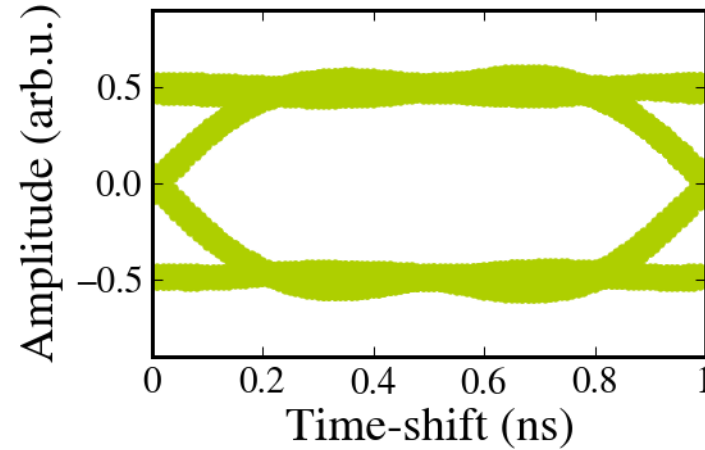
$$Q = \frac{\langle S_1 \rangle - \langle S_0 \rangle}{\sigma_1 + \sigma_0}$$

where  $\langle S_1 \rangle$  and  $\langle S_0 \rangle$  are the average optical power of bits "1" and "0", and  $\sigma_1$  and  $\sigma_0$  are the corresponding standard deviations.

# Open Loop



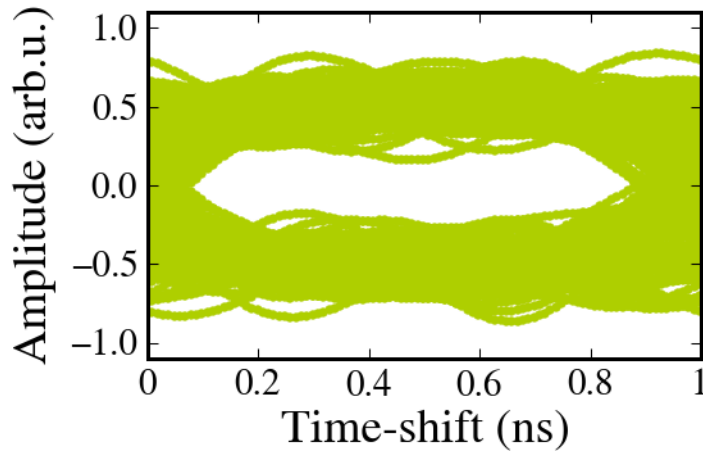
# Closed Loop



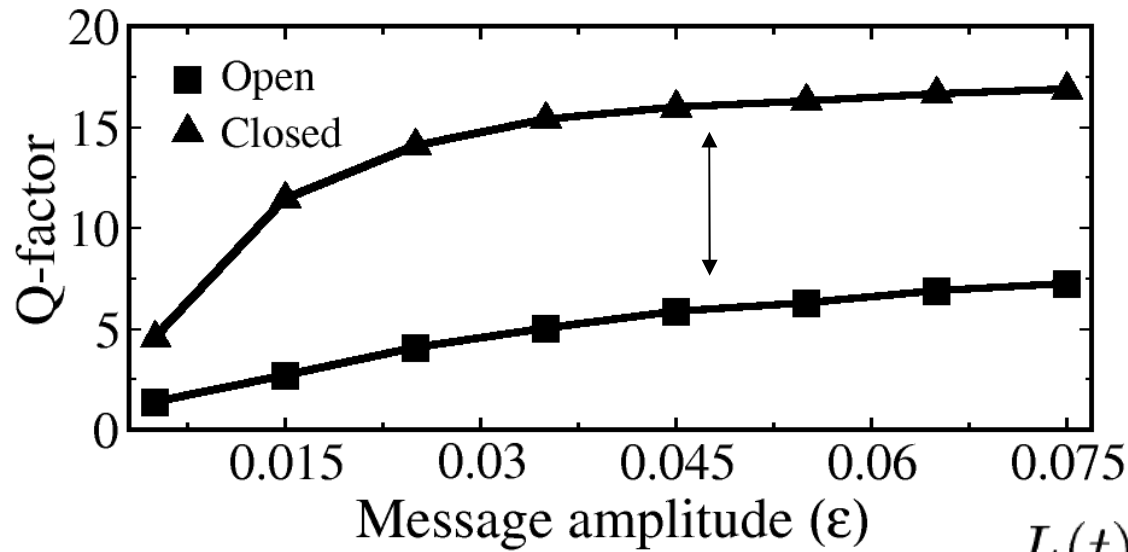
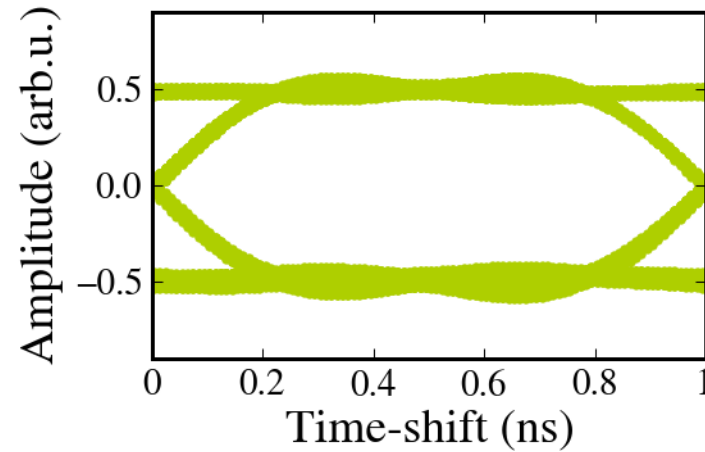
$$P_t(t) = (1 - \epsilon m(t)) P_m(t)$$



## Open Loop



## Closed Loop



$$I_t(t) = (1 + \epsilon m(t))I$$

- Security in the communications connected to the synchronization between emitter and receiver
- Important differences between chaos synchronization and injection locking
- Better chaos-filtering properties of the closed loop receiver
- Higher quality on the extraction of encrypted messages with the closed loop receiver